### While you wait...



#### **Virtual Meeting Tips**

- Put your questions in the Q&A at the bottom of your Zoom screen
- Use the chat feature at the bottom of your Zoom screen for comments and conversation
- If you are having technical issues, please let us know in the chat and we will do our best to assist you

#### New at NursingHome411

- LTCCC Alert: Nursing Home Staff Turnover Above 50%
- 'I am afraid': Elder Justice Newsletter (Volume 4, Issue 4)
- LTC Journal: Summer 2022
- NH411 Podcast: How Colorblind Policies Fail Nursing Home Residents
- July Webinar Show Me the Numbers: How Transparency Can Improve the Quality of Nursing Home Care

For materials from today's webinar, visit nursinghome411.org/webinar-falls/

The Long Term Care Community Coalition

 LTCCC is a nonprofit, nonpartisan organization dedicated to improving care & quality of life for the elderly & adult disabled in long-term care (LTC).



#### • What we do:

- Policy research & analysis;
- Systems advocacy;
- Public education;
- Home to two local LTC Ombudsman Programs.

### www.nursinghome411.org

#### Today's Webinar:



Tuesday, September 13, 2022 | 1-2 PM ET **Register:** https://bit.ly/fall-prevention-nh



Join us as **Sharon Banks Tarr, MN, RN, LNCC (Clarity Legal Nurse Consulting)** discusses nursing home falls and the best practices for preventing "never events."

For materials from today's webinar, visit nursinghome411.org/webinar-falls/

## About Sharon Banks Tarr, MN, RN, LNCC (Speaker 1)

- Masters in Nursing, LNCC (legal nurse consultant certified), and has worked as a surveyor in healthcare facilities
- 20 years' service as a US Navy Nurse, honorable discharge
  - Extensive experience as a staff nurse (medical/surgical, orthopedics, respiratory intensive care, hemodialysis)



# About Tabassum Mohibi (Speaker 2)

• Policy intern at LTCCC

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- MPH candidate at Columbia University
- Hospitalist Physician
   Assistant, experience working
   with hospitalized nursing home
   residents and individuals awaiting
   nursing home placement



Part I: Fall Prevention: Focusing on Process – Not People – to Keep Nursing Home Residents Safe

> Sharon Banks Tarr, MN, RN, LNCC *Clarity Legal Nurse Consulting*

Long Term Care Community Coalition, Webinar, 9/13/2022. Program available at https://nursinghome411.org/webinar-falls

### Falls: Never Events

 Falls have been identified by the Centers for Medicare and Medicaid Waiver (CMS), and the Joint Commission on Accreditation, Health Care, and Certification (JACHO/JTO) as Never Events.

# Background

- In 1996, JACHO/JTO adopted a sentinel event policy to help healthcare organizations to prevent falls by using the JACHO Targeted Solutions RCA2 Tool.
- The Root Cause Analysis (RCA2) and Action tool was intended to establish methodologies and techniques to prevent harm.

### Failures of the Healthcare System

- In 1999, The Institute of Medicine (IOM) published the To Err is Human and Crossing the Quality Chasm Report, which provided new ways to look at medical errors.
- At the time of this report, 98,000 patient deaths occurred a year as a result of what were identified as preventable medical errors.
- This report identified failures of the healthcare system that contributed to these errors. It served as a call to action for alignment of healthcare delivery with payment policies, quality improvement, and better information technology.

### Denial of payment reimbursement

- July 31, 2008, CMS classified falls with injury as never events for which payment reimbursement would be denied. This was the effective date for payment denial.
- On June 30, 2011, CMS published a final rule implementing the requirements of Section 2702. The final rule required that States implement non-payment policies for provider preventable conditions (PPCs), including health-acquired conditions (HCACs) and other provider-preventable conditions.

## Addressing Never Events

- From 2001-2008, the National Quality Forum(NQF) published a list of 28 serious reportable events across all healthcare settings (hospitals, outpatient clinics, office-based practices, surgery centers, Long-Term Care (LTC), Skilled Nursing Facilities (SNF), and Nursing Homes (NH) across 26 states and the District of Columbia.
- From 2010-2011, The US Centers for Disease Control and Prevention (CDC) initiated the STEADI (Stopping Elderly Accidents, Death and Injuries) project as a process improvement approach to address never/sentinel, events.

## Fall Data

- In 2014 and 2020, the Office of the Inspector General (OIG) reports included Nursing Home potentially preventable /adverse/sentinel event data using the Harm Scale broad categories: Resident Care (Falls), Medication, and Infection.
- Every year, at least 3 million older people are treated for fall injuries
- Over 800,000 older people are hospitalized per year for a fall injury.
- Many falls do not cause injury. Of those that do, one in 5 falls causes serious injury such as, broken bones or head injury.
- The CMS cost projection for a fall with injury for 2020-2021, was approximated at \$14,056.

# Every 11 seconds....

- Falls are the leading cause of fatal and nonfatal injuries in older adults across various acute and post acute healthcare settings.
- According to The Center for Disease Control (CDC), Home and Recreational Safety:
  - One in four Americans aged 65+ fall every year
  - Every 11 seconds, an older adult is treated in an emergency room for falls.
  - Every 19 minutes an older adult dies from a fall
  - Hundreds of thousands of patient falls occur in hospitals alone
  - The projection of the rate of death from falls by 2030 is 7 deaths from falls, every hour.

### Serious and Costly....

- The CDC, Home and Recreational Safety Annual Cost of Falls projection (2020): \$55 Billion
- Conclusion: Falls with injury are serious and costly
- When the focus of fall prevention improvement tools/strategies in the Long-Term Care setting is placed on root cause analysis, and identification of solutions that are individualized, realistic and measurable, the risk for falls is/can be significantly decreased.

### Fall Risk Factors

- Lower body weakness
- Vitamin D deficiency
- Difficulty walking/balancing
- Dementia
- Polypharmacy (multiple medications given at one time and the side effects make patients/residents unsteady on their feet, resulting in a fall).
- Vision Problems
- Foot Pain/poorly fitting footwear
- General physical plant hazards/dangers (broken /uneven steps/flooring, throw rugs, poor lighting).

### What Can I do?

- Residents:
- Family members:
- Ombudsmen:
- Nursing staff:
- Surveyors:
- Advocates:

# Part II:

### **Regulation and Guidance**

Protocols and Research

After the Fall

A "No Harm" Fall

### Fall and Accident Prevention: Regulations & Guidance

**Code of Federal Regulations (CFR):** Standards applicable to all residents in licensed U.S. nursing homes, including short-term, long-term, private pay, Medicaid, Medicare, or privately insured.

- Facilities must implement comprehensive, resident-centered fall prevention plans for each resident at risk for falls or with a history of falls. (Source: State Operations Manual Appendix PP)
- Facilities must ensure that—
  - The resident environment remains as free of accident hazards as is possible; and
  - Each resident receives adequate supervision and assistance devices to prevent accidents. (Source: CFR §483.25)

Note: Nursing homes are responsible for ensuring the safest environment possible for residents in a manner that helps promote quality of life and *respects the residents' rights to privacy, dignity, and self-determination.* 

### nursinghome411.org/facts-falls

### Fall and Accident Prevention: Protocols and Research

#### **Protocols:**

- Use fall risk screening tools
- Implement comprehensive fall reduction programs
- Close observation
- Prevent environmental hazards
- Ensure adequate staffing
- Frequently review medications
- Regular exercise
- Annual vision check-ups
- Assistive devices (wheelchairs, walkers, etc.)

#### **Research:**

- Upgraded lighting (higher intensity during day, lower intensity at night) can reduce falls by 43% (Grant et al, 2022)
- Falls rate reduced by 36% with introduction of a falls risk/intervention tool (Cooper, 2017)
- Number of active medications
   was the most significant factor
   associated with fall risk,
   followed by number of active
   diseases (Thapa et al, 2022)

### nursinghome411.org/facts/falls

# After the fall: An eight-step response

- 1. Evaluate and monitor resident for 72 hours after the fall.
- 2. Investigate fall circumstances.
- 3. Record circumstances, resident outcome and staff response.
- 4. FAX Alert to primary care provider.
- 5. Implement immediate intervention within first 24 hours.
- 6. Complete falls assessment.
- 7. Develop plan of care.
- 8. Monitor staff compliance and resident response.



Source: Agency for Healthcare Research and Quality (AHRQ) https://www.ahrq.gov/patient-safety/settings/long-term-care/resource/injuries/fallspx/man2.html

# Breaking the Code: *ELDER JUSTICE*What "No Harm" Really Means for Residents A 'no harm' falls deficiency

- A resident at a Vermont nursing home sustained seven falls in three months
- Another resident fell six times in eight weeks, leading to emergency room evaluation for head injury and pain
- According to the citation, the nursing home failed to review and revise fall prevention care plans for two residents
- Though the nursing home's failure to review and revise care plans for two residents led to preventable falls, this deficiency was not cited as harm
- Fall prevention: screen for fall risk, address individual fall risk factors, implement comprehensive, resident-centered care plan, frequent observation

### nursinghome411.org/elder-justice-4-2/

### LTCCC's October Webinar:

- What does good care look like for people living with dementia?
  - Tuesday, October 18, 1pm ET
  - Presenter: Jonathan Evans
  - Register: https://bit.ly/webinar-dementia



Jonathan Evans (right) speaking at LTCCC's 2019 symposium.

### **Register: https://bit.ly/webinar-dementia**

# Save the Date! LTCCC's 13<sup>th</sup> Annual Reception

- November 9, 2022 | 6-8PM (ET)
- Location: NY City Bar, 42 W. 44<sup>th</sup> Street, NYC
- Tickets: <u>https://ltccc13.eventbrite.com/</u>



# Head to NursingHome411...



- For materials from today's webinar, visit <u>https://nursinghome411.org/webinar-falls</u>
- For LTCCC's fact sheet on fall and accident prevention, visit <u>https://nursinghome411.org/facts-falls/</u>
- To purchase your ticket for LTCCC's 13<sup>th</sup> Annual Reception, visit <u>https://ltccc13.eventbrite.com/</u>

Thank You For Joining Us Today!

For updates & invites to future programs: www.nursinghome411.org/join/.

LTC Ombudsmen: Look out for an email confirming your attendance of this program on Thursday.

